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Scoping NPIs out of DPs

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We present new data showing that quantifiers can take scope over the DPs in which they surface. We identify some problems for two types of non-movement accounts of these data (see Sauerland 2005 for arguments that QR is possible out of DPs, pace Larson 1985 i.a.). Any-DPs tend to be unacceptable in singular definite descriptions, even when these occur below negation (1). This is attributed to singular definite descriptions constituting Strawson upward-entailing environments, in which NPIs are unacceptable (e.g., Lahiri 1998).

(1) *John didn’t read the book that was written by any Russian author.

However, (2), a minimal variant of (1), is acceptable. (2) can be asserted after going through a list of Russian authors paired with their salient books (John didn’t read the book that Dostoyevsky wrote, John didn’t read the book that Tolstoy wrote, etc.). Note that asserting (1) in this setup does not improve its acceptability. The interpretation of (2) is in (3), where the existential quantifier appears in the immediate scope of negation. The sentence also presupposes that each Russian author wrote a single salient book. How do we get at this interpretation?

(2) John didn’t read the book that any Russian author wrote.
(3) ¬∃x(x is a Russian author & John read the book x wrote)

One possible analysis is that we are dealing with a special “free-choice any” in (2), which is a universal quantifier (e.g., Dayal 1998). Sharvit (1999) argues that universal quantifiers can scope out of DPs by means of typeshifting (which is restricted to universal quantifiers). Following Ladusaw (1979), however, it can be shown that this analysis would in many cases yield incorrect interpretations (see Chierchia 2013; Crnić 2019 for independent issues). For example, (4a) has a stronger meaning, (4b), than the wide-scope reading of every Russian author (or its intermediate-scope reading for that matter), (4c): it is judged false in a situation in which there are five Russian authors, each of whom wrote a unique book, and that book was read by four different people (that is, twenty readers altogether).

(4) a. Fewer than five students read the book that any Russian author wrote.

b. = max_n (∃x (x is a Russian author & n students read the book that x wrote)) < 5

c. ≠ ∀x (x is a Russian author → max_n (n students read the book that x wrote) < 5)
Another possible analysis is to take any-DP to be a choice function indefinite whose scope is determined by existential closure over the choice function higher in the clause; that is, that the sentence in (2) has the representation in (5) (see [Schwarz 2011] for a review).

\[(5)\;\neg [\exists_f [\text{John read the book that } f(\text{Russian author}) \text{ wrote}]]\]

In contrast to the first analysis, the meaning of (5) correctly corresponds to (3). However, two issues emerge. First, the contrast between (1) and (2) is unexpected: wide-scope construals of indefinites in non-subject positions, as in (1), are well attested. Second, the required intermediate readings, as in (3), appear not to be attested for other indefinites, (6) (which only allows for the widest/lowest-scope interpretations of the indefinite).

\[(6)\;\text{John didn’t read the book that a Russian author wrote.}\]

In light of these issues, one may want to entertain a movement approach to the above data. The meaning in (3) is derived straightforwardly under such an approach; indeed, it follows from the LF in (7), in which the NPI has QRed out of the DP. Furthermore, the subject/non-subject asymmetry between (1)/(2) could be attributed to independent restrictions on A'-movement (e.g., [Bruening 2001], see also [Sauerland 2000]). Many non-trivial issues arise, however, including issues involving (i) movement out of purported islands and (ii) asymmetries in what quantifiers may undergo such movement (as exemplified by the contrast between NPIs vs. other indefinites in (2) vs. (6)).

\[(7)\;\neg [[\text{any Russian author}]_x [\text{John read the book} _y [\text{that } x \text{ wrote} y]]]\]

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